

11-6-02

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applic	ant: John	Barry : Group Art Unit: 2161					
Serial 1	No. 09/7	15,958 Examiner:					
Filed:	Novembe	er 15, 2000 Atty. Dkt. No.: 8874/134					
For:		AL VENDOR FINANCING : CM AND METHOD : CERTIFICATE OF MAILING					
		I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on October 23, 2002. Patrick W. Rasche, Reg. No.: 37,916					
		INFORMATION DISCLOSURE STATEMENT					
1.	[]	Pursuant to 37 CFR 1.97(b) [within 3 months of filing or prior to 1st Office Action]					
2.	[🗸]	[within 3 months of filing or prior to 1st Office Action] Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance] Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance] Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97 (d)					
3.	[]	Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance] GROUP 3600					
4.	[]	Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97 (d) [before Issue Fee payment]					
	Commissiongton, D.C	oner for Patents C. 20231					
Sir:							
The fo	llowing a	re submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:					
5.	✓_	A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.					
This Pa	aper is sul	omitted in accordance with:					
6.		37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]					
7.	<u> </u>	37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and					
	8.	The required certification made in item 11 below; or					

	9.	_	The \$180 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.				
10.	_	37 CFR	1.97(d): [before issue fee payment]; and				
	_	a)	This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention GROUP DIRECTOR, and if applicable include batch locator information: e.g., "Allowed Files, Batch, Date of Allowance "), and				
	_ _	b)	The required Certification is stated in item 11 below.				
11.	<u>√</u>	Certification					
	12.	<u> </u>	Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or				
	13.	_	No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.				
14.	✓		harge all applicable fees associated with the submittal of this Information Disclosure nt to Deposit Account No. 01-2384. An original and two copies of this document is l.				

Respectfully submitted,

Patrick W. Rasche, Reg. No.: 37,916
Armstrong Teasdale LLP

One Metropolitan Square, Suite 2600

St. Louis, MO 63012 (314) 621-5070

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO		Complete if Known				
OPPONENTAL IN	JEODMATION	Application Number	09/715,958			
RESORPLEMENTAL IN DISCLOS	HDE	Filing Date	November 15, 2000			
STATEMENT BY	APPLICANT	First Named Inventor	John Barry			
~ <u>e</u>		Group Art Unit	2161			
(use as many sheets a	s necessary)	Examiner Name				
Shelf 1 of	1	Attorney Docket Number	8874/134			

-				U.S. PATENT DOCUMEN	rs	
Examiner Initials*	Cite No.	U.S. Patent Document Kind Code ²		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	5,611,052	(if_known)	Dykstra, et al.	03/11/1997	
	AB	5,774,883		Andersen, et al.	06/30/1998	De
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document Kind Code ⁵ (if known)			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Į 6
	FA	Office ³	Number*					

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*						
	BA	International Search Report (5 pgs.) of International Application No.: PCT/US01/44150; Applicant: HELLER FINANCIAL, INC.; International Filing Date: 14 November 2001.				
	ВВ	.www.lucent.com; (LUCENT TECHNOLOGIES INC.); Newcourt signs expanded vendor financing arrangement with Lucent Technologies; 11 March 1998; (2 pgs.)				
	ВС	.www.monitordaily.com; CONROY, Tim; Global Alliances & Vendor Financing Success is in the Details; MONITOR LEASING & Financial Services March 2001, (2 pgs.)				
	BD	www.asiapac.alcatel.com; (ALCATEL CORP.) Alcatel expands innovative global vendor finance securitization; 12 October 2000; (2 pgs.)				

Examiner Signature	Date Considered:	

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¹Unique citation designation number. ²Applicant is to place a checkmark here if English language Translation is attached.